

### General information about company

Scrip code	540175
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE964R01013
Name of the company	Regency Fincorp Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	Yes	Yes	Yes	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0
As on the end of previous 1st quarter	100	0
As on the end of previous 2nd quarter	100	0
As on the end of previous 3rd quarter	100	0
As on the end of previous 4th quarter	100	0

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	8419358			8419358	23.17	8419358		8419358	23.17
(B)	Public	3747	27916362			27916362	76.83	27916362		27916362	76.83

(C)	Non Promoter-Non Public											
(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	Total	3751	36335720			36335720	100		36335720		36335720	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group		833333	833333	22.38	7626010	90.58			8419358			
(B)	Public		4166663	4166663	77.62	19929996	71.39			27690962	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total		4999996	4999996	100	27556006	75.84			36110320	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	2669358			2669358	7.35	2669358		2669358	7.35
(d)	Any Other (specify)	2	5750000			5750000	15.82	5750000		5750000	15.82
	Sub-Total (A)(1)	4	8419358			8419358	23.17	8419358		8419358	23.17
(2)	Foreign										
	Total Shareholding of Promoter										

and Promoter Group (A)=(A)(1)+(A)(2)		4	8419358			8419358	23.17	8419358		8419358	23.17
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	2	1382588			1382588	3.81	1382588		1382588	3.81
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	3645	2618955			2618955	7.21	2618955		2618955	7.21
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	74	7590903			7590903	20.89	7590903		7590903	20.89
(i)	Non Resident Indians (NRIs)	10	25016			25016	0.07	25016		25016	0.07
(l)	Bodies Corporate	13	16296830			16296830	44.85	16296830		16296830	44.85
(m)	Any Other (specify)	3	2070			2070	0.01	2070		2070	0.01
Sub-Total (B)(4)		3747	27916362			27916362	76.83	27916362		27916362	76.83
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		3747	27916362			27916362	76.83	27916362		27916362	76.83
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		3751	36335720			36335720	100	36335720		36335720	100
Total (A+B+C )		3751	36335720			36335720	100	36335720		36335720	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)		833333	833333	8.47	1876010	70.28			2669358			
(d)		0	0	13.91	5750000	100			5750000			
Sub-Total (A)(1)		833333	833333	22.38	7626010	90.58			8419358			
(2)	Foreign											

Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		833333	833333	22.38	7626010	90.58			8419358			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)		0	0	3.34	1250000	90.41			1382588	0	0	0
(g)		0	0	6.34	30004	1.15			2588755	0	0	0
(h)		4166663	4166663	28.44	2624994	34.58			7395703	0	0	0
(i)		0	0	0.06	0	0			25016	0	0	0
(l)		0	0	39.43	16024998	98.33			16296830	0	0	0
(m)		0	0	0.01	0	0			2070	0	0	0
Sub-Total (B)(4)		4166663	4166663	77.62	19929996	71.39			27690962	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		4166663	4166663	77.62	19929996	71.39			27690962	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )		4999996	4999996	100	27556006	75.84			36110320			
Total (A+B+C )		4999996	4999996	100	27556006	75.84			36110320			

### Individuals/Hindu undivided Family

Searial No.	1	2	
Name of the Shareholders (I)	NEHA ABROL	GAURAV KUMAR	<a href="#">Click here to go back</a>
PAN (II)	AFBPA6503Q	BRJPK2015C	Total
No. of fully paid up equity shares held (IV)	772562	1896796	2669358
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	772562	1896796	2669358
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.13	5.22	7.35
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	772562	1896796	2669358
Class eg:y			
Total	772562	1896796	2669358
Total as a % of Total Voting rights	2.13	5.22	7.35
No. Of Shares Underlying Outstanding convertible			

securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)	833333	0	833333
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	833333	0	833333
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	3.89	4.59	8.47
<b>Number of Locked in shares (XII)</b>			
No. (a)	772562	1103448	1876010
As a % of total Shares held (b)	100	58.17	70.28
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	772562	1896796	2669358
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter	

<b>Any Other (specify)</b>			
Searial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Natures Heavens India Private Limited	Regency Digitrade Investments Private Limited	
PAN (II)	AAHCN8494R	AAKCR5917Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	3625000	2125000	5750000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3625000	2125000	5750000

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.98	5.85	15.82
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	3625000	2125000	5750000
Class eg:y			
Total	3625000	2125000	5750000
Total as a % of Total Voting rights	9.98	5.85	15.82
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.77	5.14	13.91
Number of Locked in shares (XII)			
No. (a)	3625000	2125000	5750000
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3625000	2125000	5750000
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter	

### Bodies Corporate

Serial No.	1	2	3	4	
Name of the Shareholders (I)	LOTUS MACHINES PVT LTD	ECOMATIX SOLUTIONS PRIVATE LIMITED	MAX BIO BIOSCIENCES PRIVATE LIMITED	DHULL TRADING PRIVATE LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AAACL2508D	AAECE8423C	AAFCB2763F	AAGCD8323G	Total
No. of fully paid					

up equity shares held (IV)	416666	6916666	4166666	4575000	16074998
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	416666	6916666	4166666	4575000	16074998
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.15	19.04	11.47	12.59	44.24
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	416666	6916666	4166666	4575000	16074998
Class eg:y					
Total	416666	6916666	4166666	4575000	16074998
Total as a % of Total Voting rights	1.15	19.04	11.47	12.59	44.24
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.01	16.73	10.08	11.07	38.89
Number of Locked in shares (XII)					
No. (a)	416666	6916666	4166666	4525000	16024998
As a % of total Shares held (b)	100	100	100	98.91	99.69
Number of equity shares held in dematerialized form (XIV)	416666	6916666	4166666	4575000	16074998
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0

Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Directors and their relatives (excluding independent directors and nominee directors)		
Serial No.	1	
Name of the Shareholders (I)	Vishal Rai Sarin	<a href="#">Click here to go back</a>
PAN (II)	APJPS0737C	Total
No. of fully paid up equity shares held (IV)	1250000	1250000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1250000	1250000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.44	3.44
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1250000	1250000
Class eg:y		
Total	1250000	1250000
Total as a % of Total Voting rights	3.44	3.44
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.02	3.02
Number of Locked in shares (XII)		
No. (a)	1250000	1250000
As a % of total		

Shares held (b)	100	100
Number of equity shares held in dematerialized form (XIV)	1250000	1250000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	1	2	3	4	
Name of the Shareholders (I)	BRAHAM KUMAR DHUPAR	INDERJEET KAUR WADHWA	THAKORBHAI V MISTRY	VIMAL KUMAR SHARMA	<a href="#">Click here to go back</a>
PAN (II)	AAGPD4754L	AAHPW5426H	AOQPM2375C	APUPS1811A	Total
No. of fully paid up equity shares held (IV)	785656	528847	447870	416666	2179039
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	785656	528847	447870	416666	2179039
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.16	1.46	1.23	1.15	6
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	785656	528847	447870	416666	2179039
Class eg:y					
Total	785656	528847	447870	416666	2179039
Total as a % of Total Voting rights	2.16	1.46	1.23	1.15	6
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi)	0	0	0	0	0

(a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.9	1.28	1.08	1.01	5.27
Number of Locked in shares (XII)					
No. (a)	0	0	0	416666	416666
As a % of total Shares held (b)	0	0	0	100	19.12
Number of equity shares held in dematerialized form (XIV)	785656	528847	447870	416666	2179039
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0